NAIC List of Schedule BA Non-Registered Private Funds
With Underlying Assets Having Characteristics of Bonds or Preferred Stock

As of February 28, 2022
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The document provides a list of Schedule BA Non-Registered Private Funds with underlying assets having characteristics of bonds or preferred stock as of February 28, 2022. The list includes the following issuers:

- AUDAZ MEZZANINE FD III L P
- BABSON GLOBAL CR FD LUX SCSP SICAV-SIF
- BARINGS GLOBAL INVT FDS (U $) L P
- BERKSHIRE WAY LLC
- BLACKROCK SECURITIZED INVESTORS FUND
- CALTIUS PARTNERS II LP
- CHURCHILL JUNIOR CAPITAL OPPORTUNITIES FUND II LP
- CHURCHILL MIDDLE MKT SR LN FDII MASTER FD L P
- EATON VANCE INSTL SENIOR LN FD
- EM OPPORTUNITIES LLC
- FORT WASH ACTIVE FIXED INCOME LLC
- FORT WASH EMERGING MKTS FIXEDINCOME LLC
- FORT WASHINGTON HIGH YIELDINVS LLC
- GATEWAY MEZZANINE PARTNERS III L P
- GUGGENHEIM PARTNERSOPPORTUNISTIC INVT GRADE SECSF DL
- MACKAY MUN U S INFRASTRUCTUREOPPORTUNITIES FD LP
- MACKAY SHIELDS DEFENSIVEARBITRAGE FD LTD
- METLIFE BL FEEDER LP
- METLIFE EMERGING MARKETS DEBT BLEND FUND (INSURANCE RATED) L P
- MPLX LP
- MUZINICH FLOATING RATE HIGH INCOME FUND, LP
- PFG CTL MTG LLC
- PGIM SENIOR LOAN OPPORTUNITIES FUND I LP
- PGIM SENIOR LOAN OPPORTUNITIES FUND I LP (LEVERED)
- PIMCO DISCO ONSHORE FUND III LP
- PINEBRIDGE GLOBAL OPPORTUNITIES DM CREDIT FUND LP
- SLC MANAGEMENT U.S. INVESTMENT GRADE PRIVATE CREDIT FUND, L.P.
- TIIA GLOBAL PUBLICINVESTMENTS LLC
- ZAZOVE GLOBAL CONV FD L P
- ZAZOVE INSTL INVT GRADE CONVFD L P

The document also includes a note explaining that an insurance company that purchases a Schedule BA Private Fund with underlying assets having characteristics of bonds or preferred stock whose name was added by the SVO to the List of Schedule BA Non-Registered Private Funds with underlying assets having characteristics of bonds or preferred stock eligible to be reported on Schedule BA with an NAIC Designation must file the fund with the SVO if they wish to obtain a final NAIC designation for reporting purposes. Preliminary NAIC designations are indications of the likely SVO response if the Schedule BA Fund were purchased by an insurer and filed with the SVO for assignment of an NAIC designation and are based on preliminary documentation and therefore cannot be used to report the fund to the NAIC or state insurance regulators.

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